Ball Spaces: a generic approach to measuring the strength of completeness/compactness of various types of spaces and ordered structures

Franz-Viktor Kuhlmann joint work with Katarzyna Kuhlmann including collaborations with René Bartsch, Hanna Ćmiel, Wieslaw Kubis and Matthias Paulsen

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Theorem (Banach's Fixed Point Theorem)

Every contracting function on a complete metric space (*X*, *d*) *has a unique fixed point.*

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An ultrametric space (X, u) is called spherically complete if the intersection of every nest of balls is nonempty.

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Every contracting function f on a spherically complete ultrametric space (X, u) has a unique fixed point.

In joint work with P. Ribenboim, Prieß-Crampe later extended this theorem to the case of generalized ultrametric spaces which have partially ordered value sets Γ .



• What about topological spaces?

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- What about topological spaces? What about linear orderings? What sort of completeness would be needed for fixed point theorems in such spaces and structures?
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• How can the respective completeness properties of various spaces and ordered structures be linked with each other and compared? Is there a reasonable definition of their "strength", and how can it be measured?

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To every ultrametric space (X, u) we can associate a ball space (X, \mathcal{B}) by taking \mathcal{B} to be the collection of all closed ultrametric balls. Then (X, u) is spherically complete if and only if (X, \mathcal{B}) is.

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The ultrametric FPT is a direct consequence of the second part of this theorem.

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The nonempty open sets? Not a good idea! A topological space is compact if and only if every chain of **closed** sets has a nonempty intersection.

If *X* is a topological space, then we will consider the ball space (X, \mathcal{B}) where \mathcal{B} consists of all nonempty closed sets. Hence we have:

Theorem

The ball space (X, \mathcal{B}) is spherically complete if and only if X is compact.

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Does the spherical completeness of (X, \mathcal{B}) imply cut completeness? Then it would not be interesting for ordered abelian groups and fields since all cut complete densely ordered abelian groups and ordered fields are isomorphic to \mathbb{R} .

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The cut (D, E) is called asymmetric if the cofinality of D is not equal to the coinitiality of E.

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The cut (D, E) is called **asymmetric** if the cofinality of *D* is not equal to the coinitiality of *E*. By what we have seen above, nests of intervals $[a_v, b_v]$ over asymmetric cuts will always have nonempty intersection.

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Theorem

 (X, \mathcal{B}) is spherically complete if and only if X is symmetrically complete.

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Ordered sets, abelian groups and fields

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- (2004) S. Shelah introduced the notion of "symmetrically complete ordered field" and proved that every ordered field can be extended to a symmetrically complete ordered field.
- (2013) In joint work with S. Shelah we extended his result to ordered sets and abelian groups, characterized all symmetrically complete ordered abelian groups and fields, and proved an analogue of the Banach FPT. (Israel J. Math. 208 (2015))

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Take a nonempty partially ordered set (poset) (T, <). We define $[a, \infty) := \{b \in T \mid a \le b\}$ and $\mathcal{B} := \{[a, \infty) \mid a \in T\}$. A poset is **inductively ordered** if every chain in (T, <) has an upper bound.

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A poset is chain complete if every chain in (T, <) has a least upper bound.

Partially ordered sets

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1) The ball space (T, \mathcal{B}) is spherically complete if and only if (T, <) is inductively ordered. If this is the case, then the intersection of every nest in (T, \mathcal{B}) contains a ball.

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2) (T, <) is chain complete if and only if it has a smallest element and the intersection of every nest of balls in \mathcal{B} is again a ball.

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Observe that in both 1) and 2) the ball spaces have a stronger property than just spherical completeness:

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Observe that in both 1) and 2) the ball spaces have a stronger property than just spherical completeness: intersections of nests contain balls

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1) The ball space (T, \mathcal{B}) is spherically complete if and only if (T, <) is inductively ordered. If this is the case, then the intersection of every nest in (T, \mathcal{B}) contains a ball.

2) (T, <) is chain complete if and only if it has a smallest element and the intersection of every nest of balls in \mathcal{B} is again a ball.

Observe that in both 1) and 2) the ball spaces have a stronger property than just spherical completeness: intersections of nests contain balls or are themselves balls.

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Observe that in both 1) and 2) the ball spaces have a stronger property than just spherical completeness: intersections of nests contain balls or are themselves balls. We will later introduce a classification of ball spaces according to these stronger properties.

But what about metric spaces?

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But what about metric spaces? We can consider the associated ball space given by taking \mathcal{B}

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$$\{x \mid d(x-a) \le r\}.$$

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Is there an alternative for our choice of balls in the metric case?

A function φ from a metric space (*X*, *d*) to \mathbb{R} is called lower semicontinuous

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 $\liminf_{x \to y} \varphi(x) \ \ge \ \varphi(y) \, .$

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Theorem (Caristi-Kirk)

Take a complete metric space (X, d) *and a lower semicontinuous function* $\varphi : X \to \mathbb{R}$ *which is bounded from below.*

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Theorem (Caristi-Kirk)

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Theorem (Caristi-Kirk)

Take a complete metric space (X, d) and a lower semicontinuous function $\varphi : X \to \mathbb{R}$ which is bounded from below. If a function $f : X \to X$ satisfies the Caristi condition (CC) $d(x, fx) \leq \varphi(x) - \varphi(fx)$,

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Theorem (Caristi-Kirk)

Take a complete metric space (X, d) and a lower semicontinuous function $\varphi : X \to \mathbb{R}$ which is bounded from below. If a function $f : X \to X$ satisfies the Caristi condition (CC) $d(x, fx) \leq \varphi(x) - \varphi(fx)$, then f has a fixed point on X.

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$$B_x := \{y \in X \mid d(x,y) \le \varphi(x) - \varphi(y)\}$$

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$$\mathcal{B}_{\varphi} := \{B_x \mid x \in X\}.$$

If φ is lower semicontinuous and bounded from below, then we will call $(X, \mathcal{B}_{\varphi})$ a Caristi-Kirk ball space associated with (X, d).

Let (*X*, *d*) *be a metric space. Then the following statements are equivalent:*

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Let (*X*, *d*) *be a metric space. Then the following statements are equivalent:*

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Let (*X*, *d*) *be a metric space. Then the following statements are equivalent:*

- (i) The metric space (X, d) is complete.
- (ii) Every Caristi-Kirk ball space $(X, \mathcal{B}_{\varphi})$ associated with (X, d) is spherically complete.

Let (*X*, *d*) *be a metric space. Then the following statements are equivalent:*

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- (iii) For every continuous function $\varphi \colon X \to \mathbb{R}$ bounded from below, the Caristi-Kirk ball space $(X, \mathcal{B}_{\varphi})$ associated with (X, d) is spherically complete.

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There is a generic FPT for ball spaces

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There is a generic FPT for ball spaces which together with this theorem proves the Caristi-Kirk FPT.

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What we got so far

spaces	balls	completeness
		property
ultrametric spaces	all closed	spherically
	ultrametric balls	complete
topological spaces	all nonempty closed sets	compact
linearly ordered sets,	all intervals	symmetrically
ordered abelian	$[a, b]$ with $a \leq b$	complete
groups and fields		
posets	all intervals $[a, \infty)$	inductively
		ordered
metric spaces	metric balls with radii	complete
	in suitable sets of	
	positive real numbers	
	Caristi-Kirk balls *	

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In ball spaces we are concerned with intersections of balls, so we introduce the following definitions.

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A nonempty collection of balls is a **directed system** if for every two balls in this collection there is a ball in the collection that is contained in their intersection.

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What about ball spaces in which all intersections of directed systems, or of centered systems, are nonempty?

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Moreover, observe that in a topological space arbitrary intersections of closed sets are again closed. What about ball spaces in which all (nonempty) intersections of nests, directed systems, or centered systems, are again balls?

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We will also write S^* for S_5^c because this turns out to be the "star" (the strongest) among the ball spaces:

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A ball space (X, \mathcal{B}) will be called finitely intersection closed if \mathcal{B} is closed under nonempty intersections of any finite collection of balls,

A ball space (X, \mathcal{B}) will be called finitely intersection closed if \mathcal{B} is closed under nonempty intersections of any finite collection of balls, and it will be called intersection closed if \mathcal{B} is closed under nonempty intersections of arbitrary collections of balls.

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Theorem

1) If the ball space (X, \mathcal{B}) is finitely intersection closed, then \mathbf{S}_i^d is equivalent to \mathbf{S}_i^c , for i = 1, 2, 3, 4, 5.

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Theorem

1) If the ball space (X, \mathcal{B}) is finitely intersection closed, then \mathbf{S}_i^d is equivalent to \mathbf{S}_i^c , for i = 1, 2, 3, 4, 5. 2) If the ball space (X, \mathcal{B}) is intersection closed, then all properties in the hierarchy are equivalent.

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Intersection closed ball spaces

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Intersection closed ball spaces

• The ball space consisting of all nonempty closed sets in a topological space is intersection closed.

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- The ball space consisting of all nonempty closed sets in a topological space is intersection closed.
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- The ball space consisting of all nonempty closed sets in a topological space is intersection closed.
- For an ultrametric space, the ball space given by the collection of its closed ultrametric balls, is finitely intersection closed. Its full ultrametric ball space, which we obtain from the former by closing under unions and nonempty intersections of nests, is intersection closed.
- The ball space of a lattice with top and bottom, consisting of all intervals of the form [*a*, *b*], is finitely intersection closed, and it is intersection closed if and only if the lattice is complete.

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- A poset is directed complete and bounded complete if and only if the ball space defined by the final segments [*a*,∞) is S*.
- A poset is a complete lattice if and only if it has a bottom and a top element and the ball space defined by its nonempty closed intervals [*a*, *b*] is S^{*}.

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Suppose that (X, \mathcal{B}) is an S^{*} ball space

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The spherical closure of *S* is

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 $\operatorname{scl}_{\mathcal{B}}(S) \in \mathcal{B}$ is the smallest ball containing *S*.

The ball space of a totally ordered set, ordered abelian group or field,

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Lemma

Assume that (T, <) is a totally ordered set whose associated ball space is \mathbf{S}_1^d . Then (T, <) is cut complete.

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Assume that (T, <) is a totally ordered set whose associated ball space is \mathbf{S}_1^d . Then (T, <) is cut complete.

The only cut complete densely ordered abelian groups or ordered fields are the reals. So we have:

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The associated ball space of \mathbb{R} is \mathbf{S}^* .

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The only cut complete densely ordered abelian groups or ordered fields are the reals. So we have:

Proposition

The associated ball space of $\mathbb R$ is S^* . For all other densely ordered abelian groups or ordered fields the associated ball space can at best be S_1 or S_2 .

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For a spherically complete ultrametric space, the ball space of all closed ultrametric balls is S_2^c ,

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For a spherically complete ultrametric space, the ball space of all closed ultrametric balls is S_2^c , but in general not even S_3 .

Theorem (W. Kubis, K)

There are spherically complete generalized ultrametric spaces with partially ordered value set

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There are spherically complete generalized ultrametric spaces with partially ordered value set for which the associated full ultrametric ball space is **not even** spherically complete.

Let X be a complete lattice and $f : X \to X$ an order-preserving function. Then the set of fixed points of f in X is nonempty and also a complete lattice.

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Is there an analogue for ball spaces? Can it be used to transfer the Knaster-Tarski FPT to other applications?

The structure of fixed point sets in **S**^{*} ball spaces

Theorem

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$$\mathcal{B}^{f}_{ ext{Fix}} \, := \, \left\{ B \cap \operatorname{Fix}(f) \mid B \in \mathcal{B}^{f}
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Then (Fix(f), \mathcal{B}_{Fix}^{f}) *is an* **S**^{*} *ball space.*

The Knaster-Tarski FPT for ultrametric spaces

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The Knaster-Tarski FPT for ultrametric spaces

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is equal to the full ultrametric ball space of (Fix(f), u).

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Theorem

Take a function f on a spherically complete ultrametric space (X, u) *such that for all* $x, y \in X$ *:*

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Theorem

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Take a compact topological space *X* and the associated ball space (X, \mathcal{B}) where \mathcal{B} consists of all nonempty closed sets of *X*.

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Take a compact topological space *X* and the associated ball space (X, \mathcal{B}) where \mathcal{B} consists of all nonempty closed sets of *X*. If $f : X \to X$ is any function, then the set \mathcal{B}^f of all closed and *f*-closed sets forms the collection of all closed sets of a (possibly coarser) topology,

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If in addition f is continuous,

Take a compact topological space *X* and the associated ball space (X, \mathcal{B}) where \mathcal{B} consists of all nonempty closed sets of *X*. If $f : X \to X$ is any function, then the set \mathcal{B}^f of all closed and *f*-closed sets forms the collection of all closed sets of a (possibly coarser) topology, as arbitrary unions and intersections of *f*-closed sets are again *f*-closed.

Theorem

Take a compact topological space X and a function $f : X \to X$. Assume that every closed, *f*-closed set contains a fixed point or a smaller closed, *f*-closed set. Then the topology on the nonempty set Fix(f) of fixed points of *f* having $\{B \cap Fix(f) \mid B \in B^f\}$ as its collection of closed sets is itself compact.

If in addition f is continuous, then Fix(f) is compact under the subspace topology.

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Given a collection of ball spaces $(X_j, \mathcal{B}_j)_{j \in J}$,

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$$\mathcal{B}^{\mathrm{pr}} := \left\{ \prod_{j \in J} B_j \mid \text{for some } k \in J, B_k \in \mathcal{B}_k \text{ and } \forall j \neq k : B_j = X_j \right\},$$

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The Tychonoff theorem for ball spaces

Theorem

The following assertions are equivalent:

a) the ball spaces $(X_j, \mathcal{B}_j), j \in J$, are spherically complete,

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From this theorem one can derive a Tychonoff theorem for generalized ultrametric spaces.

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The Tychonoff theorem for topological spaces

In which way does Tychonoff's theorem follow from its analogue for ball spaces?

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In which way does Tychonoff's theorem follow from its analogue for ball spaces? The problem in the case of topological spaces is that the product ball space we have defined, while containing only closed sets of the product, does not contain all of them, as it is not necessarily closed under finite unions and arbitrary intersections.

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In which way does Tychonoff's theorem follow from its analogue for ball spaces? The problem in the case of topological spaces is that the product ball space we have defined, while containing only closed sets of the product, does not contain all of them, as it is not necessarily closed under finite unions and arbitrary intersections. If we close it under these operations, are its spherical completeness properties maintained?

The answer is yes, as we will now see, so the Tychonoff theorem can indeed be deduced from its ball spaces analogue.

If (X, \mathcal{B}) *is an* \mathbf{S}_1^c *ball space and* \mathcal{B}' *is the closure of* \mathcal{B} *under finite unions,*

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If (X, \mathcal{B}) *is an* \mathbf{S}_1^c *ball space and* \mathcal{B}' *is the closure of* \mathcal{B} *under finite unions, then also* (X, \mathcal{B}') *is* \mathbf{S}_1^c .

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In order to prove this theorem, we need a lemma that is inspired by Alexander's Subbase Theorem:

If (X, \mathcal{B}) *is an* \mathbf{S}_1^c *ball space and* \mathcal{B}' *is the closure of* \mathcal{B} *under finite unions, then also* (X, \mathcal{B}') *is* \mathbf{S}_1^c .

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Lemma

If S is a maximal centered system of balls in \mathcal{B}' (that is, no subset of \mathcal{B}' properly containing S is a centered system),

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In order to prove this theorem, we need a lemma that is inspired by Alexander's Subbase Theorem:

Lemma

If S is a maximal centered system of balls in \mathcal{B}' (that is, no subset of \mathcal{B}' properly containing S is a centered system), then there is a subset S_0 of S which is a centered system in \mathcal{B} and has the same intersection as S.

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If (X, \mathcal{B}) *is an* \mathbf{S}_1^c *ball space and* \mathcal{B}' *is the closure of* \mathcal{B} *under arbitrary nonempty intersections,*

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If (X, \mathcal{B}) is an \mathbf{S}_1^c ball space and \mathcal{B}' is the closure of \mathcal{B} under arbitrary nonempty intersections, then also (X, \mathcal{B}') is \mathbf{S}_1^c .

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Theorem

Take a ball space (X, \mathcal{B}) . If \mathcal{B}' is obtained from \mathcal{B} by first closing under finite unions and then under arbitrary nonempty intersections, then:

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Theorem

Take a ball space (X, \mathcal{B}) . If \mathcal{B}' is obtained from \mathcal{B} by first closing under finite unions and then under arbitrary nonempty intersections, then:

- a) \mathcal{B}' is closed under finite unions,
- b) \mathcal{B}' is intersection closed,
- c) if (X, \mathcal{B}) is \mathbf{S}_1^c , then (X, \mathcal{B}') is an \mathbf{S}^* ball space.

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Take \mathcal{B}' to be as in the previous theorem. If we add *X* and \emptyset to \mathcal{B}' , then the complements of the sets in \mathcal{B}' form a topology.

Theorem

This topology associated to \mathcal{B} *is compact if and only if* (X, \mathcal{B}) *is an* \mathbf{S}_1^c *ball space.*

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Take two ball spaces (X, \mathcal{B}) and (X', \mathcal{B}')

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Take two ball spaces (X, \mathcal{B}) and (X', \mathcal{B}') and a function $f : X \to X'$.

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Take two ball spaces (X, \mathcal{B}) and (X', \mathcal{B}') and a function $f : X \to X'$. We will call *f* ball continuous if the preimage of every ball in \mathcal{B}' is a ball in \mathcal{B} , and ball closed

Theorem

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Take two ball spaces (X, \mathcal{B}) *and* (X', \mathcal{B}') *, and a function* $f : X \to X'$ *. If* f *is ball continuous and* (X, \mathcal{B}) *is spherically complete,*

Theorem

Take two ball spaces (X, \mathcal{B}) and (X', \mathcal{B}') , and a function $f : X \to X'$. If f is ball continuous and (X, \mathcal{B}) is spherically complete, then so is (X', \mathcal{B}') .

Theorem

Take two ball spaces (X, \mathcal{B}) and (X', \mathcal{B}') , and a function $f : X \to X'$. If f is ball continuous and (X, \mathcal{B}) is spherically complete, then so is (X', \mathcal{B}') . If f is ball closed and finite-to-one,

Theorem

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Theorem

Take two ball spaces (X, \mathcal{B}) and (X', \mathcal{B}') , and a function $f : X \to X'$. If f is ball continuous and (X, \mathcal{B}) is spherically complete, then so is (X', \mathcal{B}') . If f is ball closed and finite-to-one, and if (X', \mathcal{B}') is spherically complete, then so is (X, \mathcal{B}) .

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We define the category of ball spaces

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We define the category of ball spaces to consist of all ball spaces as objects

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We define the category of ball spaces to consist of all ball spaces as objects and the ball continuous functions between them as morphisms.

Theorem

The category of ball spaces admits products and coproducts.

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The categories of spherically complete ball spaces, of S_2 ball spaces, of S_3 ball spaces, of S_4 ball spaces and of S_5 ball spaces, all of them with ball continuous functions as their morphisms,

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The categories of spherically complete ball spaces, of S_2 ball spaces, of S_3 ball spaces, of S_4 ball spaces and of S_5 ball spaces, all of them with ball continuous functions as their morphisms, admit products and coproducts.

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Thank you for your attention — and

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Thank you for your attention — and stay tuned for further developments!

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